



## Shanghai Jiao Tong University

### EC320 International Corporate Governance

<b>Instructor:</b>	George Shan	<b>Email:</b>	george.shan@uwa.edu.au
<b>Instructor's Home Institution:</b>	The University of Western Australia	<b>Office:</b>	
<b>Office Hours:</b>	TBD		
<b>Term:</b>	December 16, 2019- January 7, 2020	<b>Credits:</b>	4 units
<b>Classroom:</b>	TBD	<b>Teaching Assistant(s):</b>	TBD
<b>Class Hours:</b>	Monday through Friday, 160 mins per teaching day		
<b>Discussion Sessions:</b>	2 hours each week, conducted by teaching assistant(s)		
<b>Total Contact Hours:</b>	64 contact hours (1 contact hour = 45 mins, 2880 mins in total)		
<b>Required Texts (with ISBN):</b>	Rezaee, Zabihollah. (2009). Corporate Governance and Ethics, Danvers, MA, Wiley. ISBN: 978-0-471-73800-8		
<b>Prerequisite:</b>	Basic knowledge of accounting, finance and management		



## Course Overview

The importance of corporate governance became dramatically clear at the beginning of the 21st century as a series of corporate meltdowns from managerial fraud, misconduct, and negligence caused a massive loss of shareholder wealth. This course introduces students how a company is directed and controlled and, in particular, with the role of the directorate and the need to ensure that there is an effective framework for accountability of directors to owners, and provides a full discussion of corporate governance issues taking the broadest view of the corporate governance agenda, including both theory and practice.

## Course Goals

### 1. Knowledge and Understanding

This course introduces students how a company is directed and controlled and, in particular, with the role of the directorate and the need to ensure that there is an effective framework for accountability of directors to owners, and provides a full discussion of corporate governance issues taking the broadest view of the corporate governance agenda, including both theory and practice.

### 2. Learning Outcomes

- 2.1 Introduction of financial markets, financial reports & corporate governance
- 2.2 Fundamentals of corporate governance
- 2.3 Corporate accountability
- 2.4 Roles and responsibilities of board of directors and board committee
- 2.5 Roles and responsibilities of management
- 2.6 Roles and responsibilities of regulators and standard setters
- 2.7 Roles and responsibilities of gatekeepers
- 2.8 Roles and responsibilities of stakeholders
- 2.9 Corporate governance in private and not-for profit organizations (NPOs)
- 2.10 Corporate governance in transition
- 2.11 Emerging Issues in corporate governance

### 3. Communication Skills

The continuing development of good inter-personal and communication skills is important for Commerce graduates. This course seeks to develop students' abilities to:

- 3.1 Examine diverse sources of information and identify which components of that information are relevant to the decision to be taken.
- 3.2 Present information pertaining to corporate governance and its relevant practice.
- 3.3 Identify and discuss relevant information in a group setting.

## Grading Policy

Lecture attendance + tutorial question discussion	10% + 10%
Group case studies/presentations	40%
Final exam	40%



Grading Scale is as follows:

Number grade	Letter grade	GPA
90-100	A	4
85-89	A-	3.7
80-84	B+	3.3
75-79	B	3
70-74	B-	2.7
67-69	C+	2.3
65-66	C	2
62-64	C-	1.7
60-61	D	1
≤59	F (Failure)	0



**Class Schedule (Subject to Change)**

Day	Topics	Readings
Day 1	Financial markets, financial reports & corporate governance	Rezaee Chapter 1 Rezaee Chpt 1–RQs: 6, 7, 8, 14, 15 & 19; DQ: 5
Day 2	Fundamentals of corporate governance Tutorial questions discussion: Chapter 1	Rezaee Chapter 2 Rezaee Chpt 2–DQs: 1, 2 & 12
Day 3	Roles and responsibilities of board of directors and board committee Tutorial questions discussion: Chapter 2	Rezaee Chapters 4 & 5 Rezaee Chpt 4–RQs: 8 & 11; DQ: 9 Rezaee Chpt 5–RQ: 12; DQ: 4
Day 4	Roles and responsibilities of management Tutorial questions discussion: Chapters 4 & 5	Rezaee Chapter 6 Rezaee Chpt 6–RQ: 9; DQs: 2, 3, 5 & 7
Day 5	Roles and responsibilities of regulators and standard setters Tutorial questions discussion: Chapter 6	Rezaee Chapter 7 Rezaee Chpt 7–RQs: 3 & 9; DQs: 3, 4 & 7
Day 6	Roles and responsibilities of gatekeepers Tutorial questions discussion: Chapter 7	Rezaee Chapters 8 & 9 Rezaee Chpt 8–RQ: 7; DQs: 2 & 6 Rezaee Chpt 9–RQ: 1; DQs: 4 & 7
Day 7	Roles and responsibilities of stakeholders Tutorial questions discussion: Chapters 8 & 9	Rezaee Chapters 10 & 11 Rezaee Chpt 10–RQs: 2 & 6; DQ: 8 Rezaee Chpt 11–RQs: 1 & 2
Day 8	Technology and corporate governance Tutorial questions discussion: Chapters 10 & 11	Rezaee Chapter 12 Rezaee Chpt 12–RQs: 1, 2, 4, 6 & 7; DQs: 1 & 2
Day 9	Corporate governance in transition Tutorial questions discussion: Chapter 12	Rezaee Chapter 14 Rezaee Chpt 14–RQs: 1 & 2; DQs: 1 & 6
Day 10	Emerging Issues in corporate governance Tutorial questions discussion: Chapter 14	Rezaee Chapter 15 Rezaee Chpt 15–RQ: 5; DQs: 1, 6 & 7
Day 11	Tutorial questions discussion: Chapter 15 Group case study/presentation 1	
Day 12	Group case study/presentation 2	
Day 13	Group case study/presentation 3	
Day 14	Group case study/presentation 4	
Day 15	Course review and sample exam questions	